

# Solar origin of severe space weather

Nat Gopalswamy

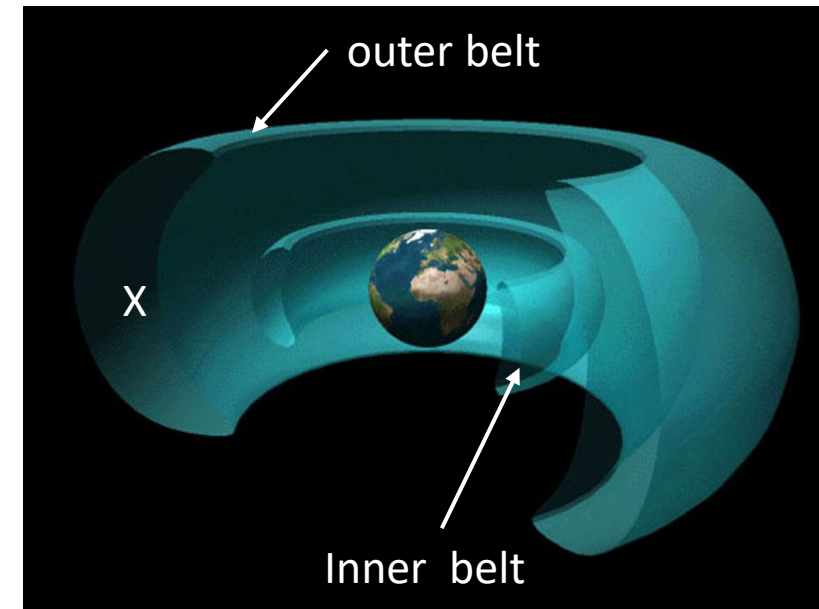
NASA Goddard Space Flight Center

Greenbelt, MD 20771, USA

Applications and Experts Seminar: Space Weather Effects on GNSS  
Fifteenth Meeting of the International Committee on Global Navigation Satellite Systems  
27 September – 1 October 2021, Vienna International Center & Online

# Space Weather & GNSS

- The term **space weather** generally refers to conditions on the Sun, in the solar wind, and within Earth's **magnetosphere, ionosphere and thermosphere** that can influence the performance and reliability of space-borne and ground-based technological systems and can endanger human life or health.
- GNSS satellites can be affected by the variable **radiation environment** in the outer radiation belt; GNSS applications can be affected by **ionospheric space weather**; **signals can be drowned** by solar microwave flares
- The underlying cause of these impacts is the Sun



X GNSS satellites are in the outer Van Allen belt

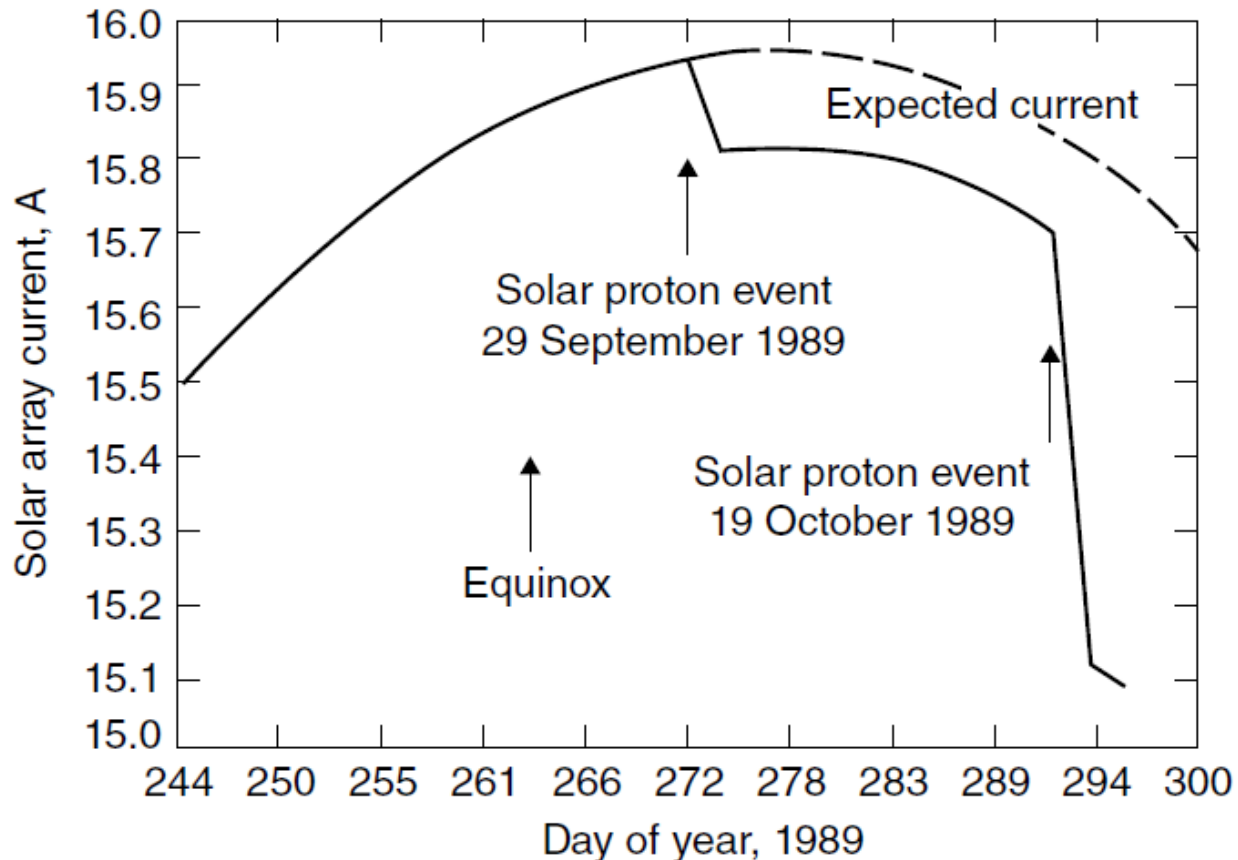
# Hazards from Radiation Environment

Particles	Effects	Sources
Electrons 10-100 keV	S/C charging	Trapped particles
Electrons > 100 keV	Deep dielectric charging, solar cell damage	Trapped particles
Electrons > 1 MeV	Radiation damage (ionization)	Trapped/quasi trapped
Protons 0.1-1 MeV	Surface damage to materials	Trapped particles
Protons 1-10 MeV	Displacement damage in solar cells	Trapped particles, IP shocks*
Protons >10 MeV	Ionization, disp. damage; sensor background	Rad belt, SEPs, GCRs
Protons > 30 MeV	Damage to biological systems	Rad belt, SEPs, GCRs
Protons > 50 MeV	Single event effects	Rad belt, SEPs, GCRs
Ions >10 MeV/nuc	Single event effects	SEPs, GCRs
GeV particles (GLEs**)	Single event effects, hazard to humans in polar flights and in deep space	SEPs, GCRs

\*\*Ground Level Enhancement (GLE) in SEPs; \*ESP events

Feynman and Gabriel 2000

# Radiation related damages to S/C



Recent estimates show that relativistic electrons in the magnetosphere following extreme geomagnetic storms can be equally dangerous in cumulative radiation damages in MEO orbits:

- total ionizing dose (TID) leading to leads to charge trapping and device performance degradation
- dielectric displacement dose (DDD) resulting in increased dark currents, solar cell degradation, and loss of gain in bipolar transistors

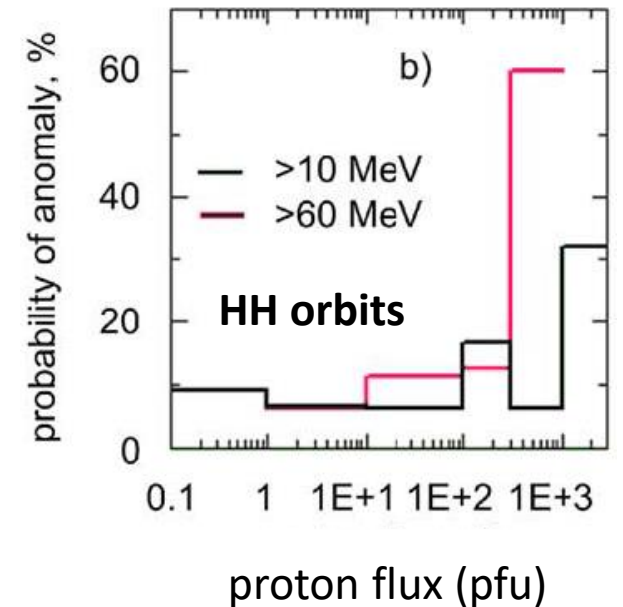
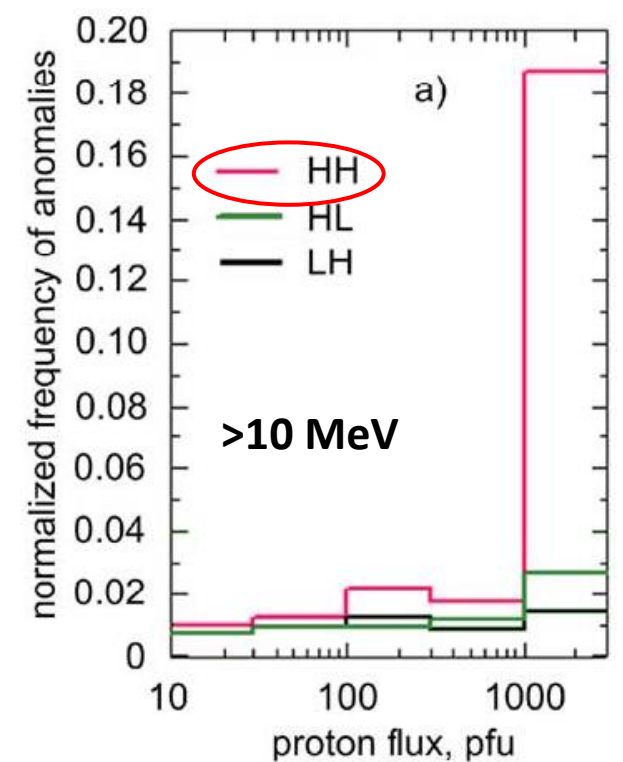
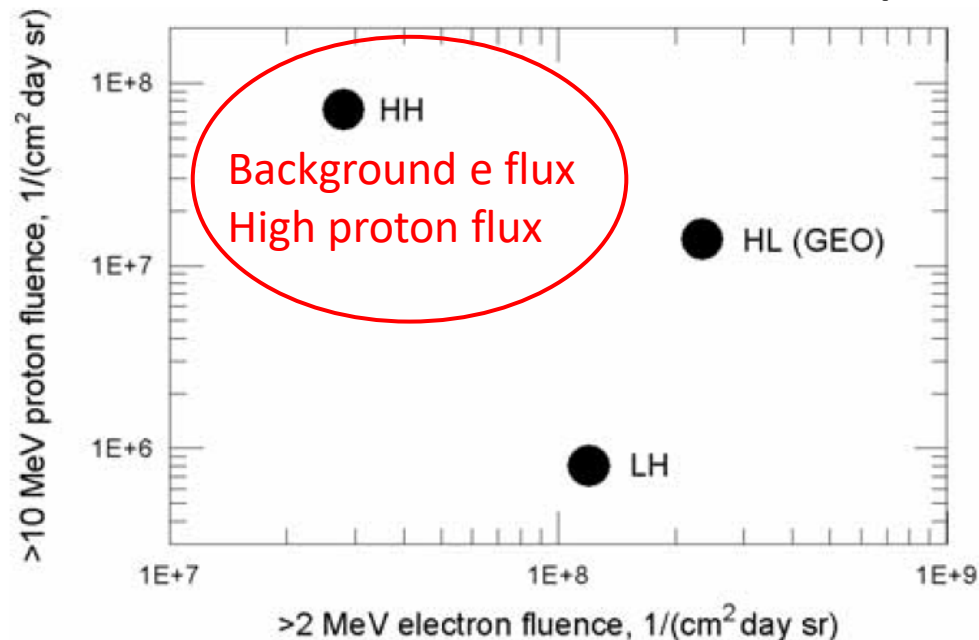
(Hands et al. 2018)

# S/C anomalies due to SEPs

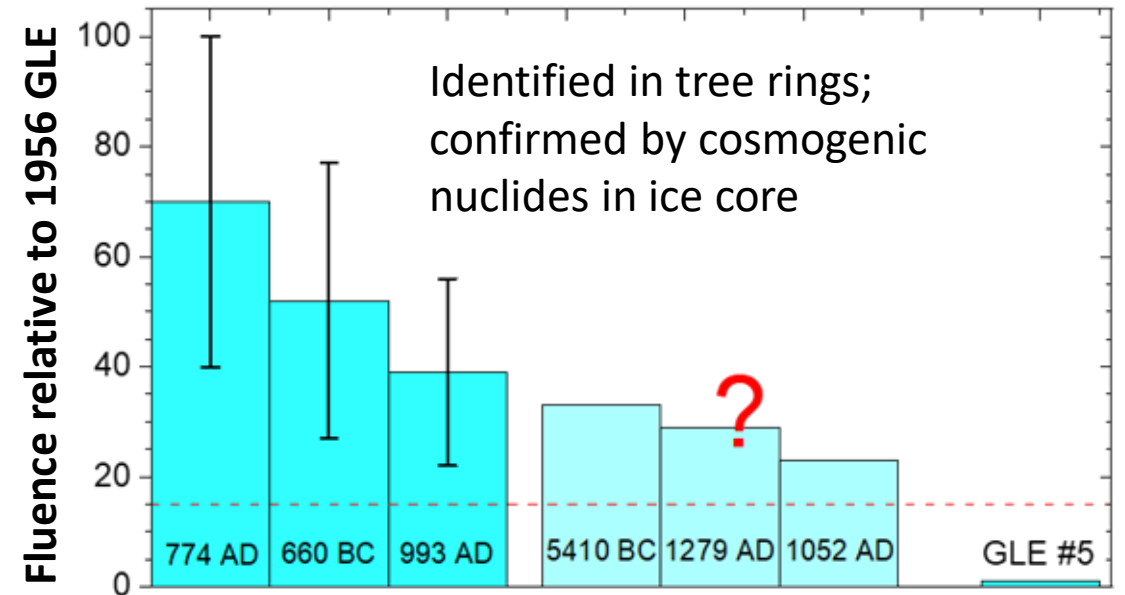
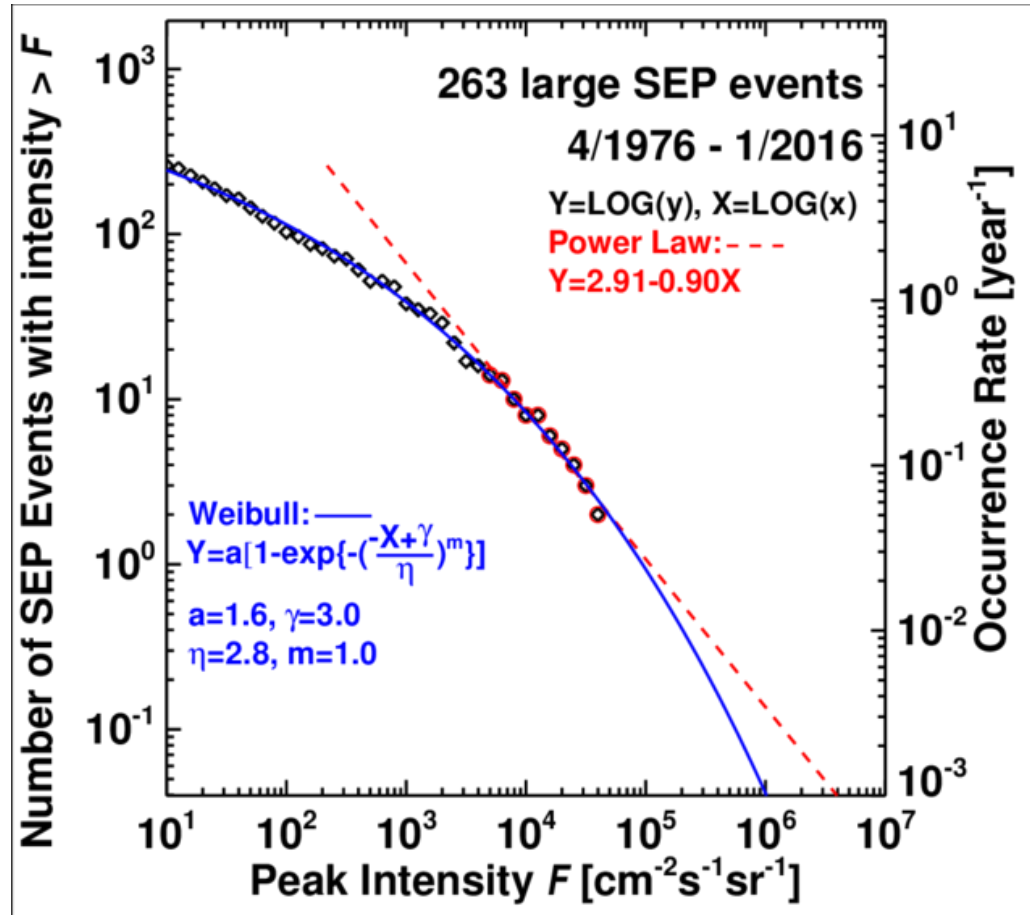
- Anomaly frequency averaged over the first 2 days of proton enhancements: the highest for HH (GNSS) orbits (0.19 vs. 0.01 for LH) – more than an order of magnitude higher
- The anomaly frequency rapidly increases with proton flux
- The probability of an anomaly for HH orbit is significantly higher for high proton flux

HH: High altitude (>15000 km),  
high inclination (>55°)  
Relevant to GNSS

5700 anomalies: 1036 in HH from 13 S/C



# SEP peak flux (>10 MeV) can be much higher



SEP event hypothesis bolstered by recent identification of five new candidate historical events, including two 774-class events (5259 BC, 7176 BC; Brehm et al., 2021)

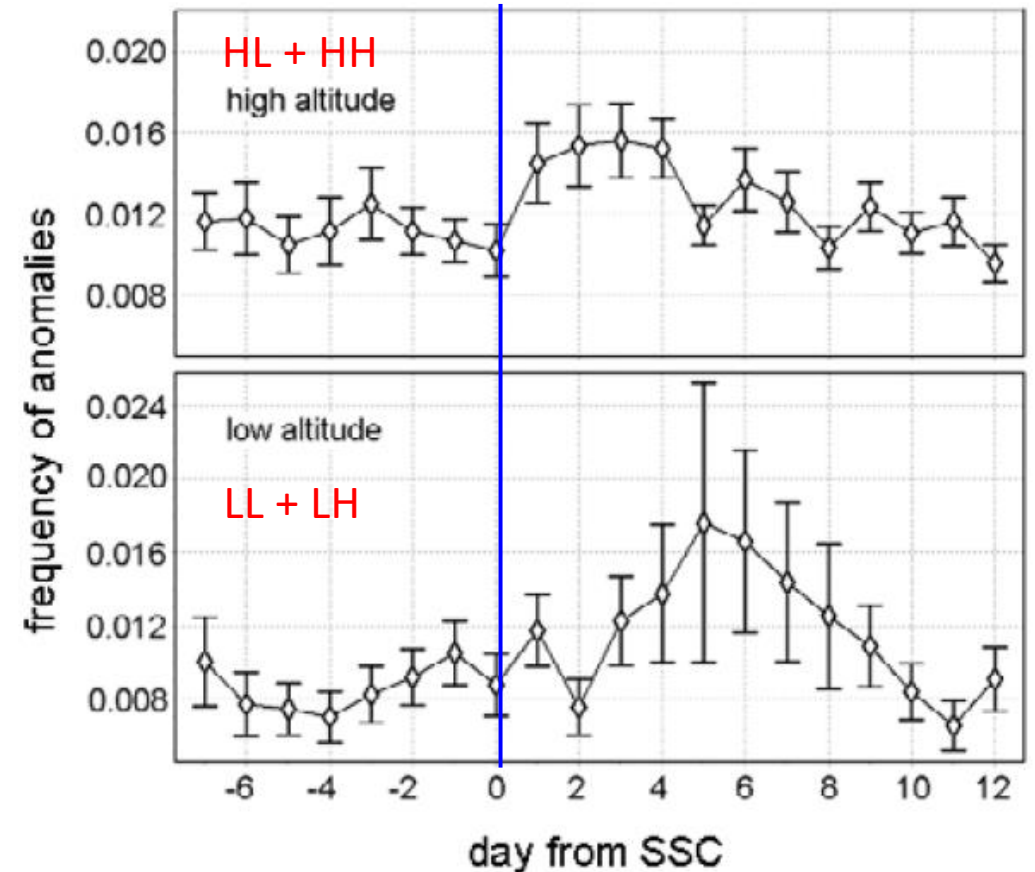
100-year  $2.04 \times 10^5$  pfu  
1000-year  $1.02 \times 10^6$  pfu

23 March 1991 SEP:  
 $4.3 \times 10^4 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ .

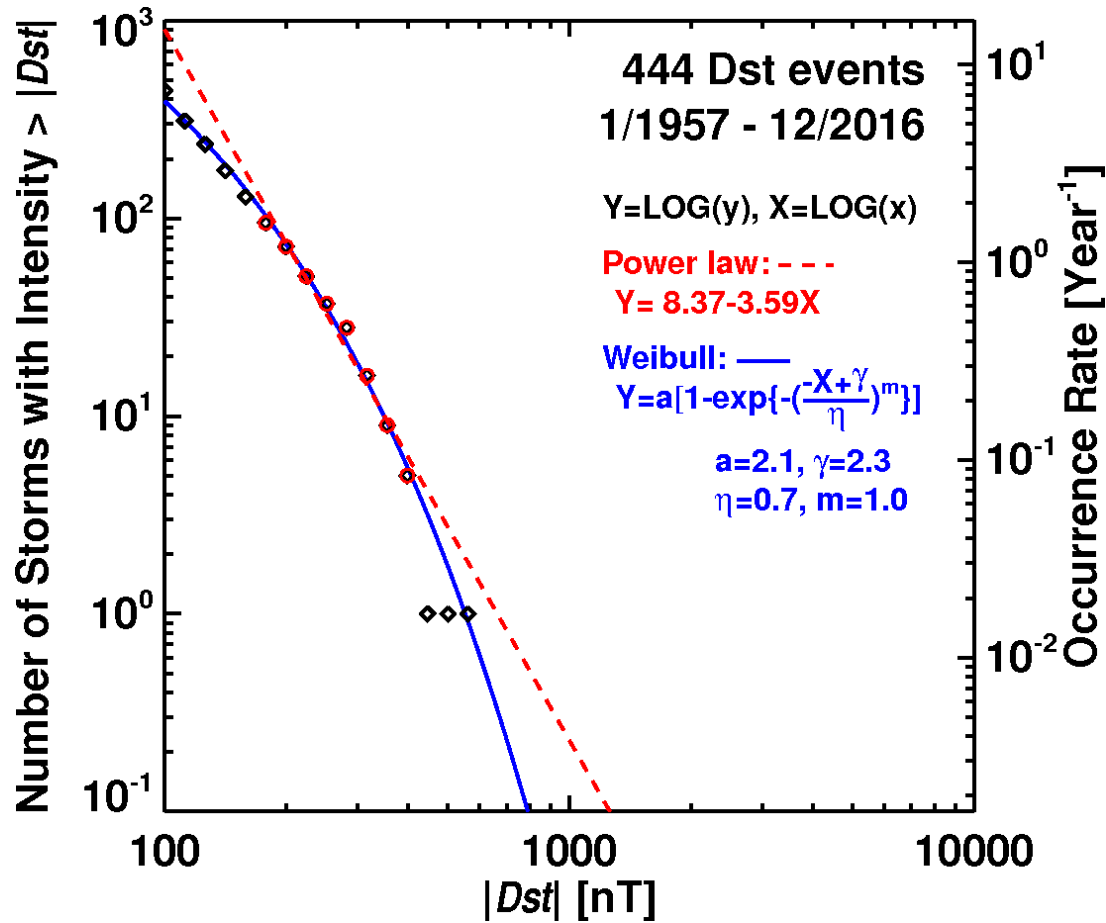
Cliver 2021  
Miyake et al. 2012  
Mekhaldi et al. 2015

# Satellite Anomalies Following Storm Sudden Commencement

- Anomalies of High-altitude (low & high inclination) satellites peak in 2-4 days after the SSC
- Anomalies of Low-altitude (low & high inclination) satellites peak in 5 days after the SSC



# Geomagnetic Storms

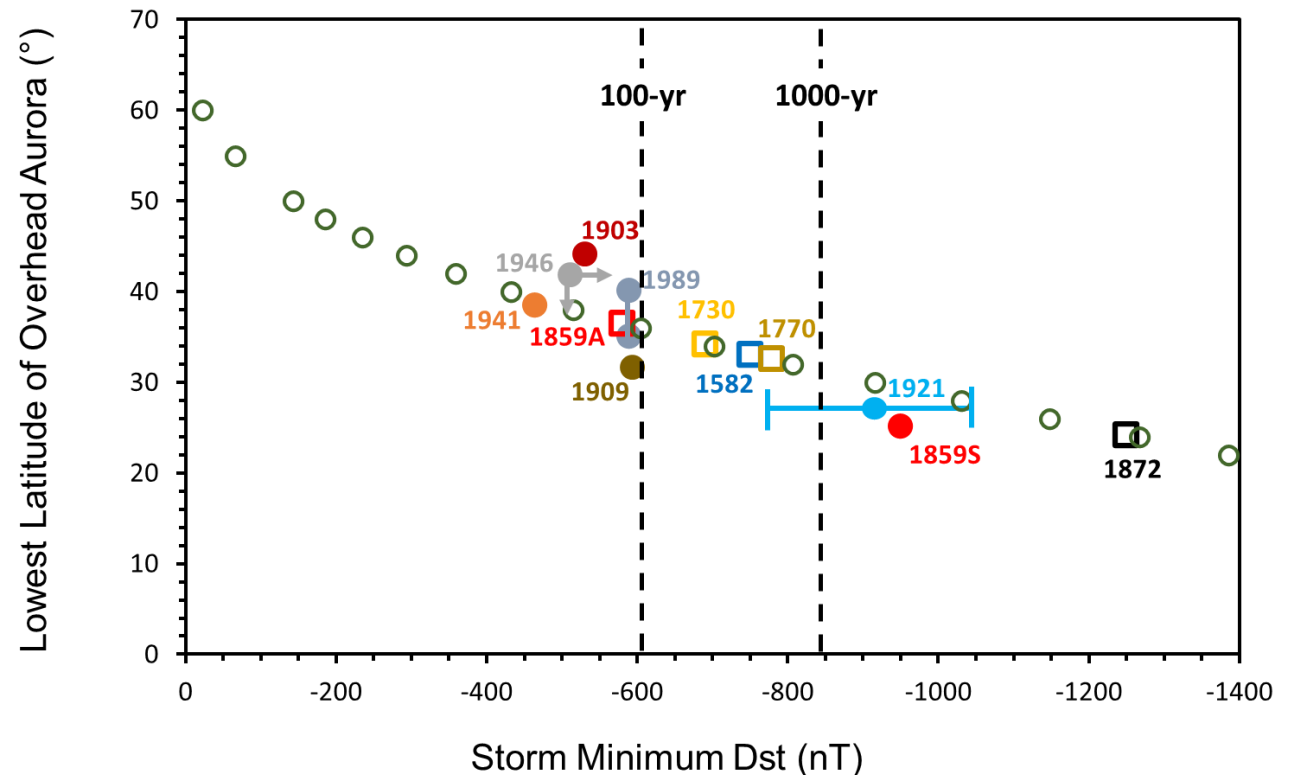


Gopalswamy 2018

Six 100-yr storms ( $\text{Dst} \leq -600$  nT) in  $\sim 450$  years (1582, 1730, 1770, 1859S, 1872, 1921)

Three 1000-yr storms ( $\text{Dst} \leq -845$  nT) in  $\sim 450$  years (1859S, 1872, 1921)

Potential storms of -1200 nT (July 2012; Li et al., 2013) and -1400 nT (August 1972; Gonzales et al., 2011)



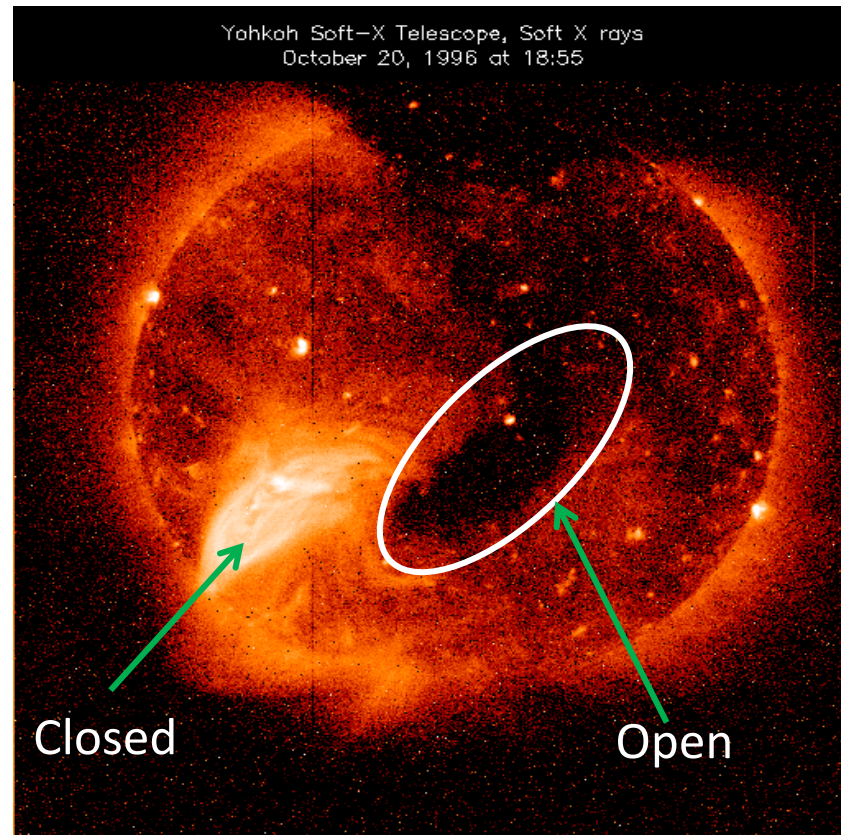
Cliver 2021



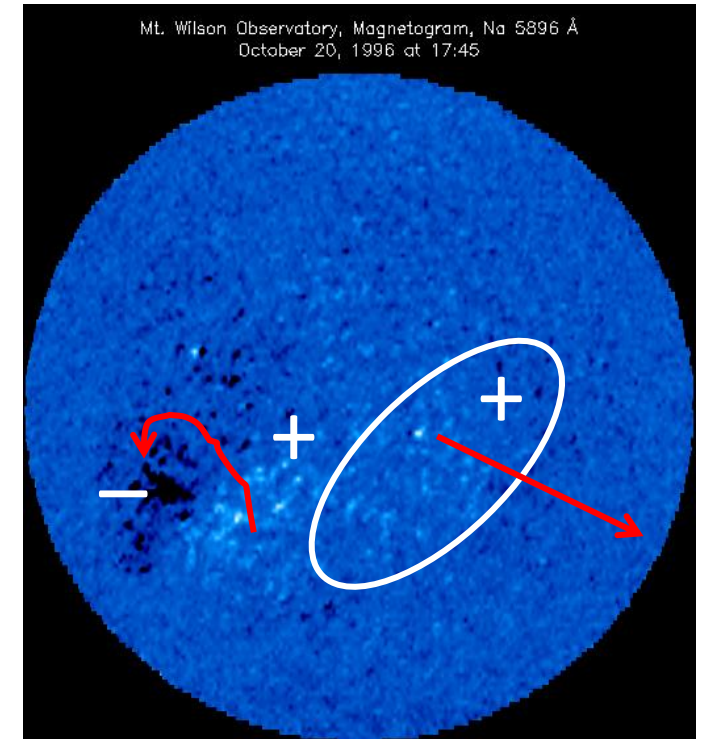
# Two Types of Magnetic Topologies on the Sun

**Closed magnetic field regions:**  
source of coronal mass ejections (CMEs) and flares

**Open magnetic field regions:**  
source of high speed solar wind (HSS) that result in corotating interaction regions (CIRs)

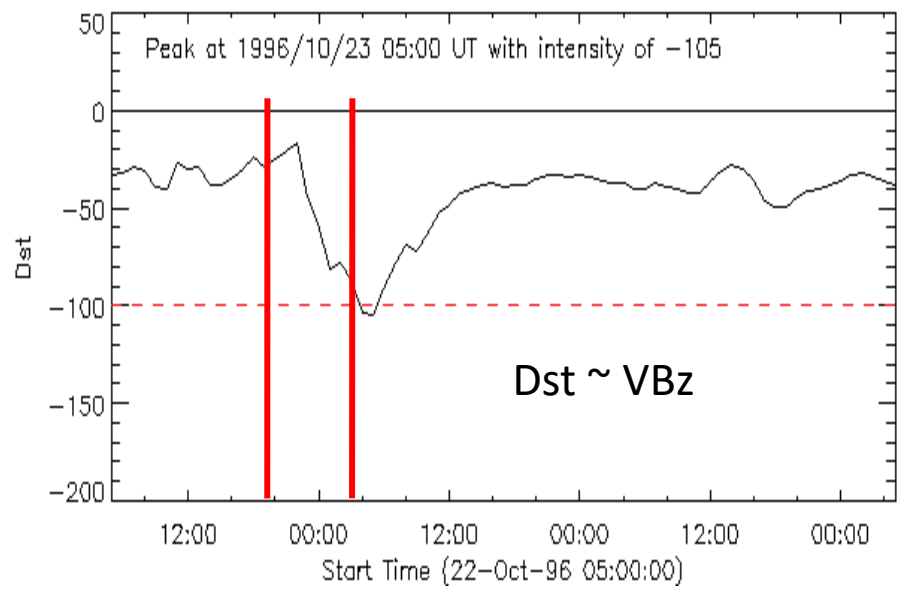
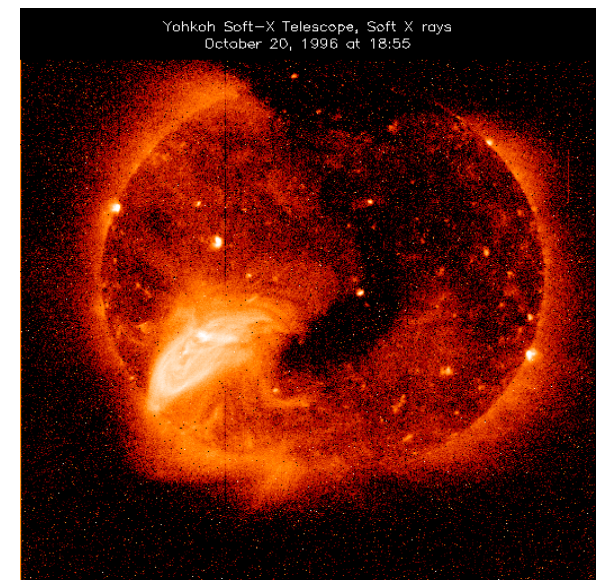
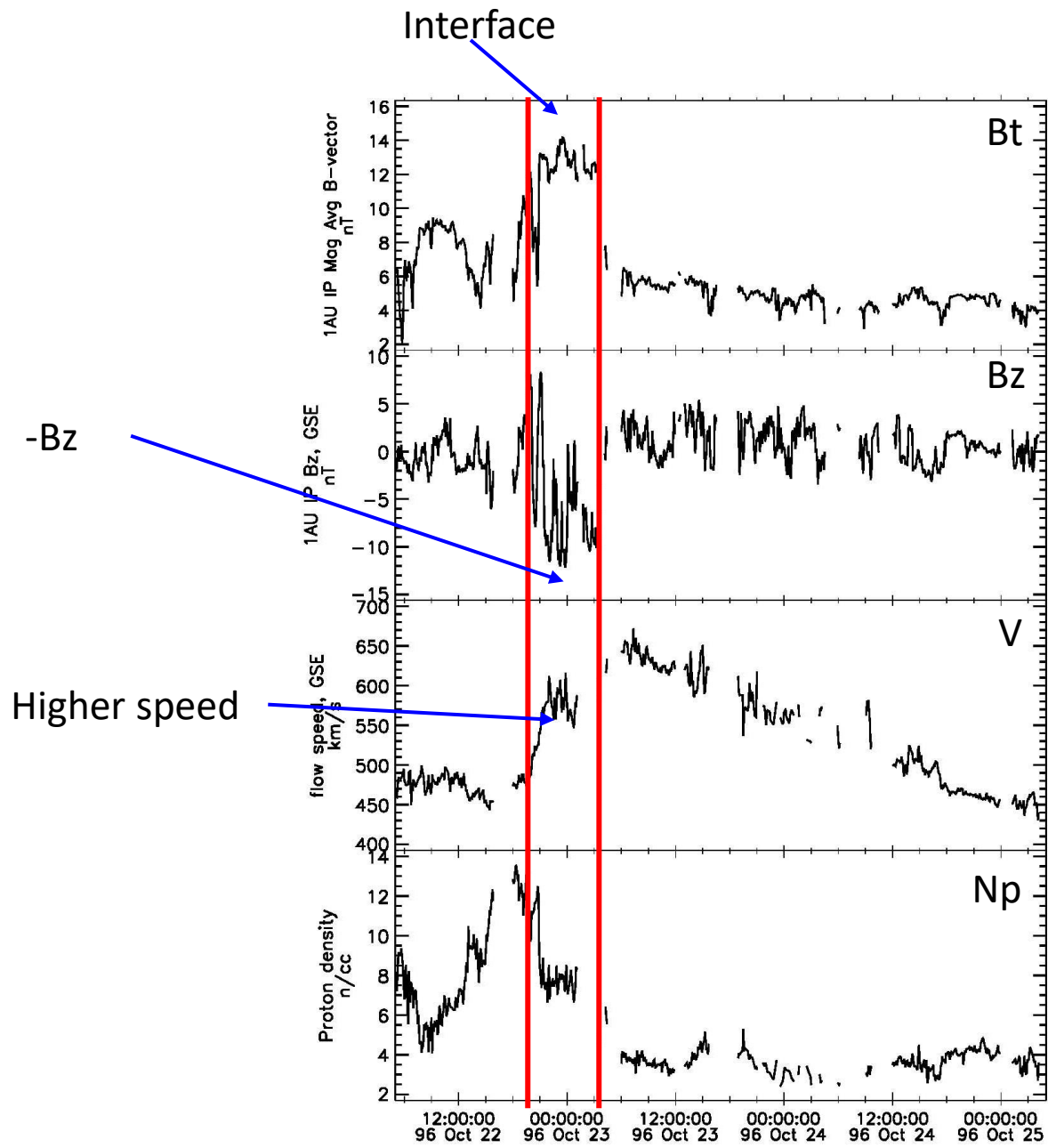


Soft X-ray Image of the Sun showing hot active region and cool coronal hole



Magnetogram showing a bipolar region (+, -), and a unipolar region (+)

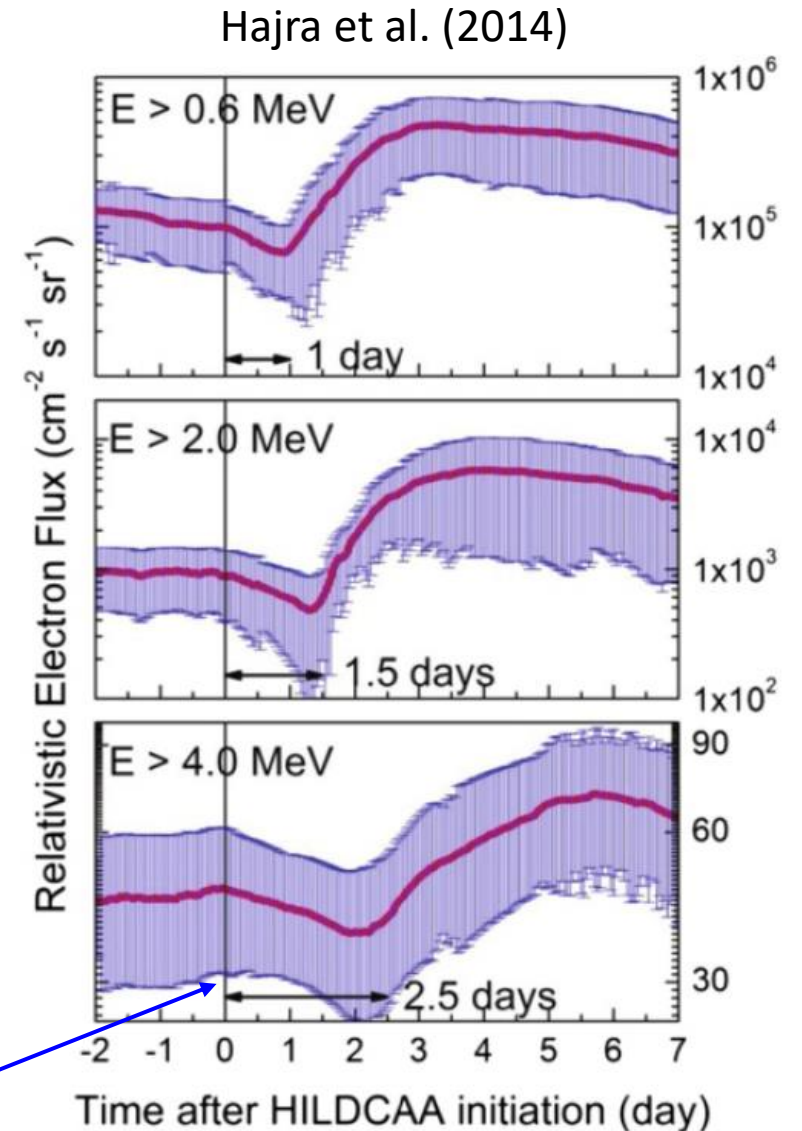
Unipolar, enhanced B, lower temperature, dark in X-ray and EUV, bright in microwave, 27-day recurrence, polar & equatorial



Gopalswamy, 2008

# How the Sun is responsible for the relativistic electron flux?

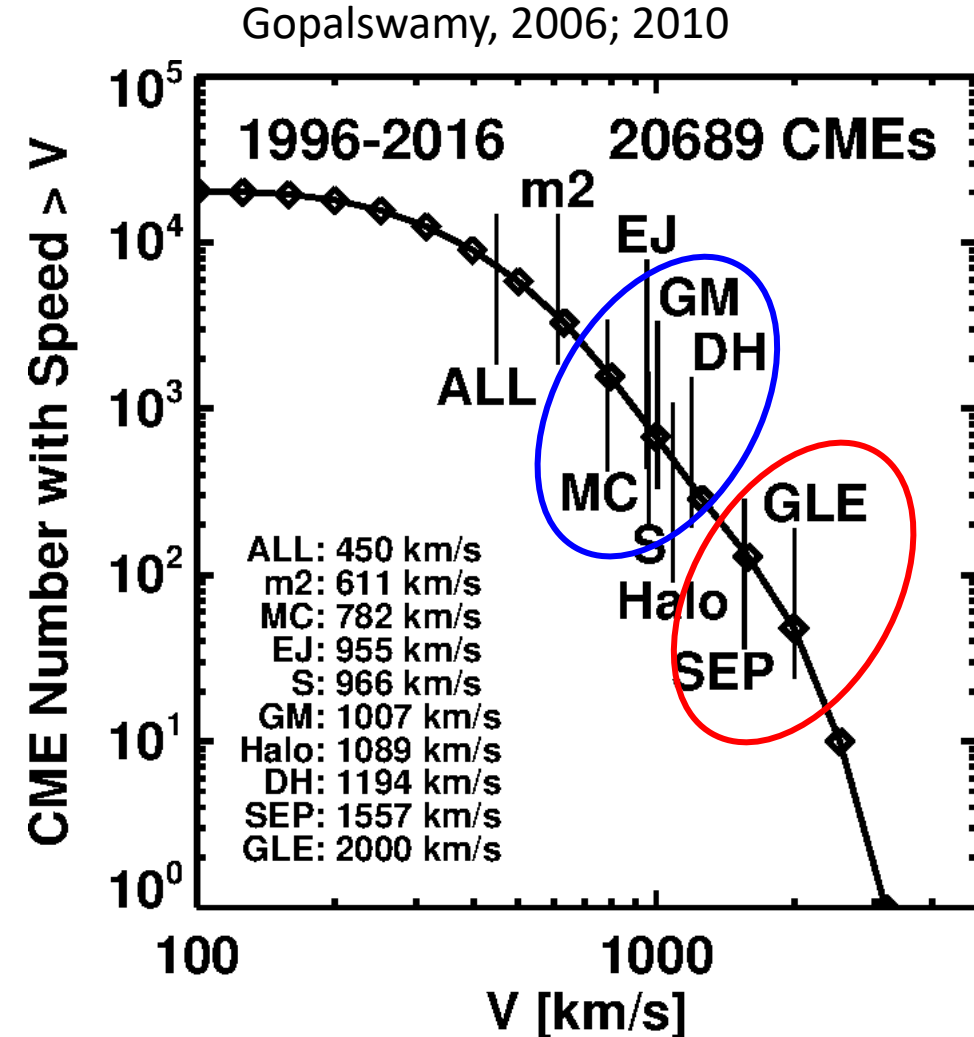
- Solar structures such as CMEs, CIRs, and HSS carrying southward component of the interplanetary magnetic field couple with Earth's magnetic field via dayside reconnection
- The subsequent nightside reconnection injects 10-100 keV electrons into the magnetosphere via substorm events
- These electrons excite low frequency waves such as chorus waves
- 100 keV electrons are further energized by interacting with the chorus waves
- Bootstrap mechanism energizes electrons progressively to relativistic energies
- Initial compression of the magnetosphere causes a decrease in the flux, followed by an increase over many days



# Significant CMEs & their Consequences

Cycle 23 – 24 CMEs from SOHO/LASCO

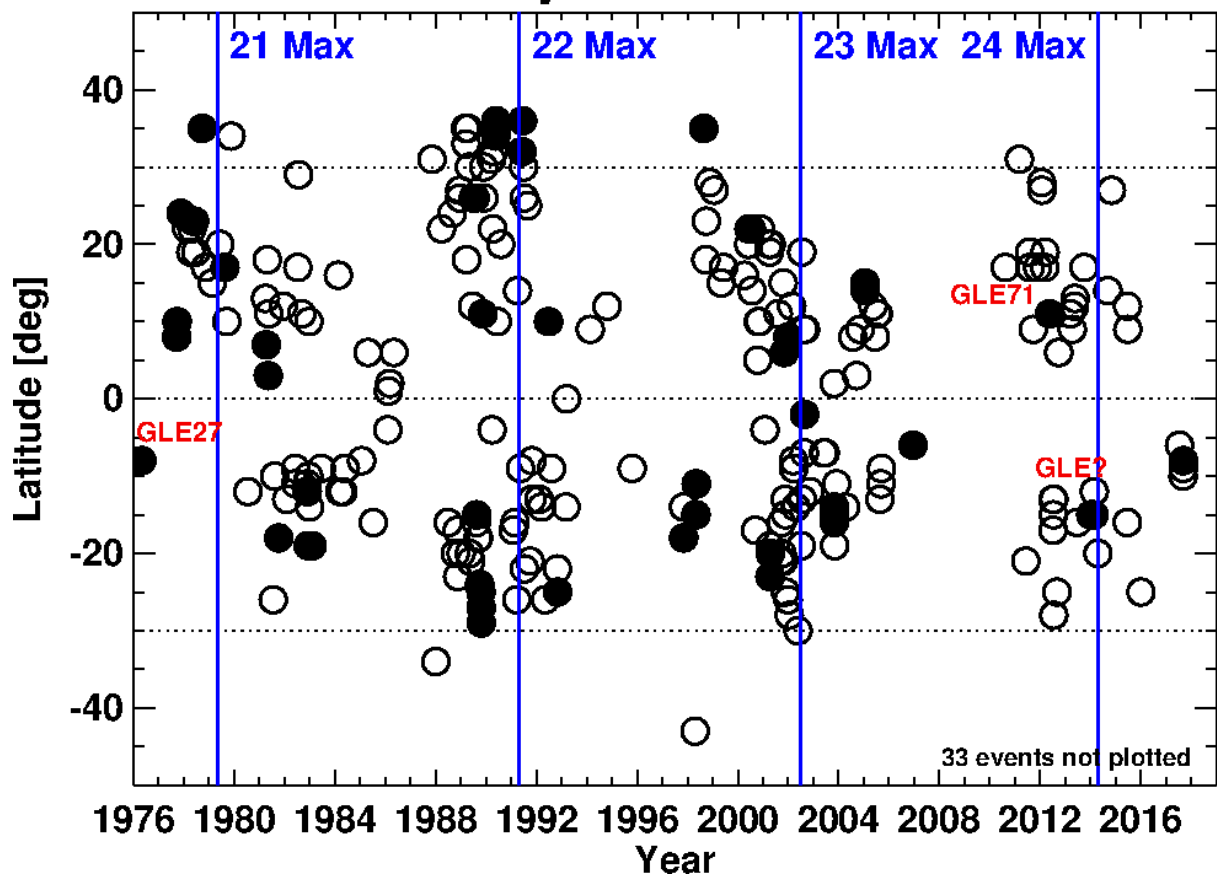
- m2 – Metric type II
- MC – Magnetic Cloud
- EJ – Ejecta
- S – Interplanetary shock
- GM – Geomagnetic storm ←
- Halo – Halo CMEs
- DH – Type II at  $\lambda$  10-100 meters
- SEP – Solar Energetic Particles ←
- GLE – Ground Level Enhancement



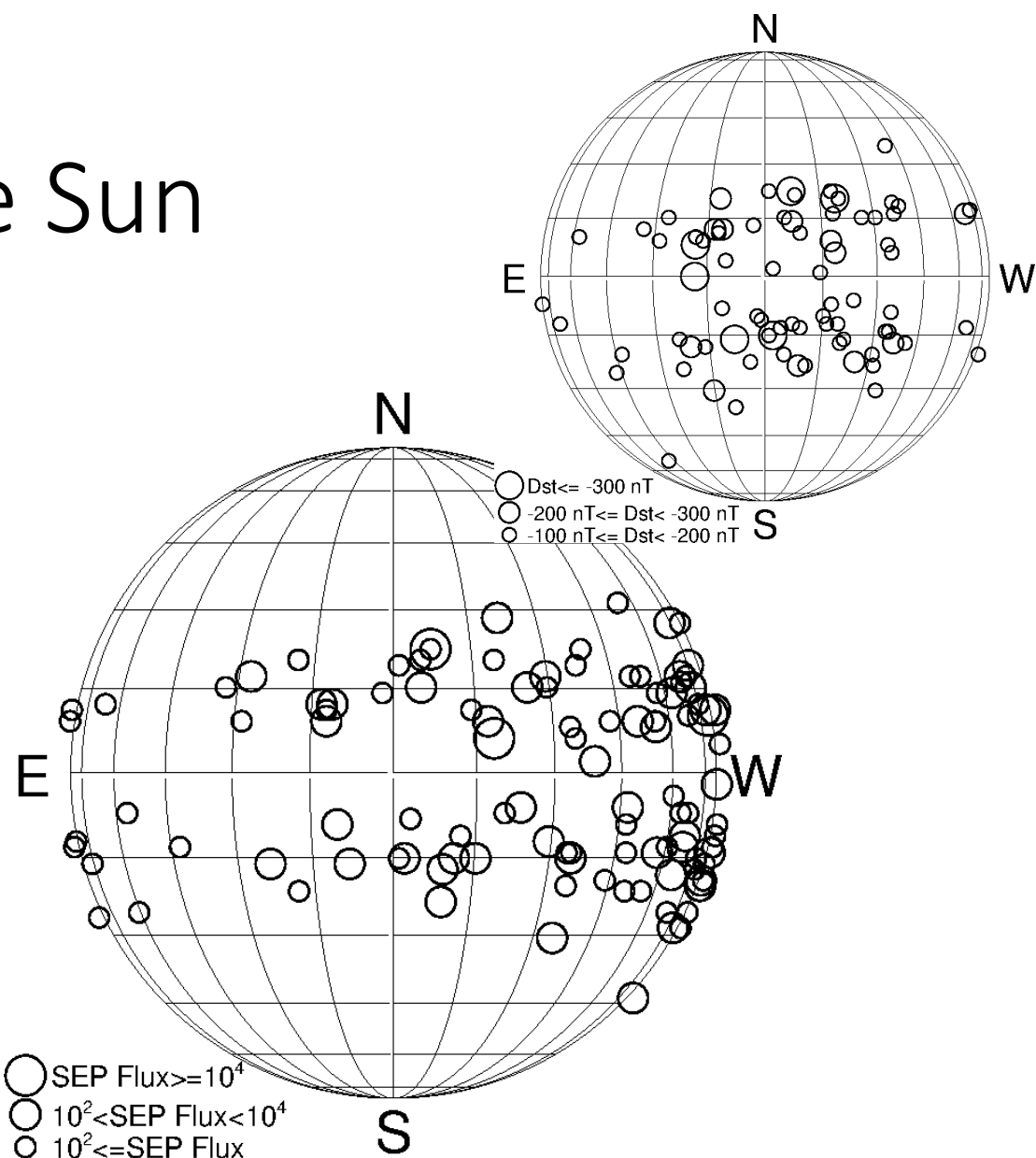
CME speeds: 1000 km/s (Dst < -100), 1500 km/s (>10 MeV), 2000 km/s (GeV)

# SEP Source Regions on the Sun

## Major SEP Events



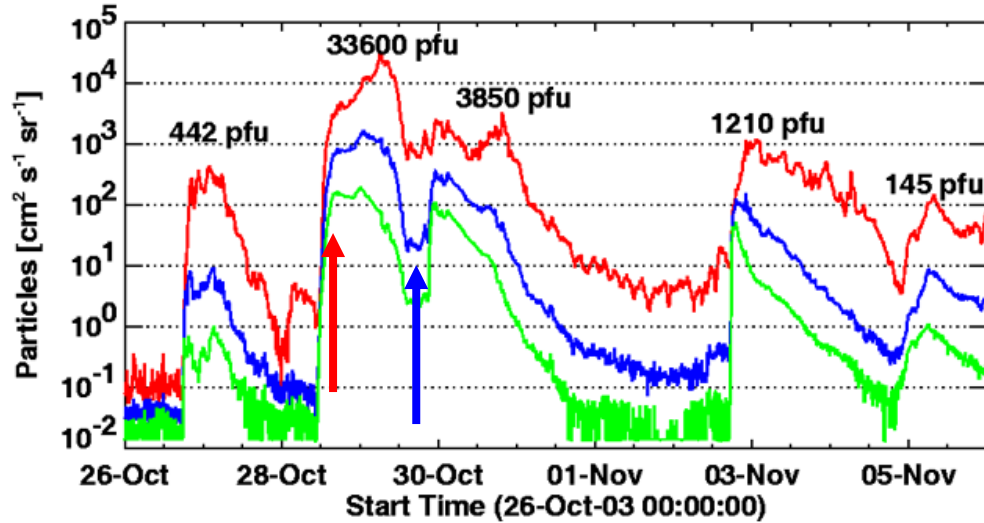
Confined to active region belt



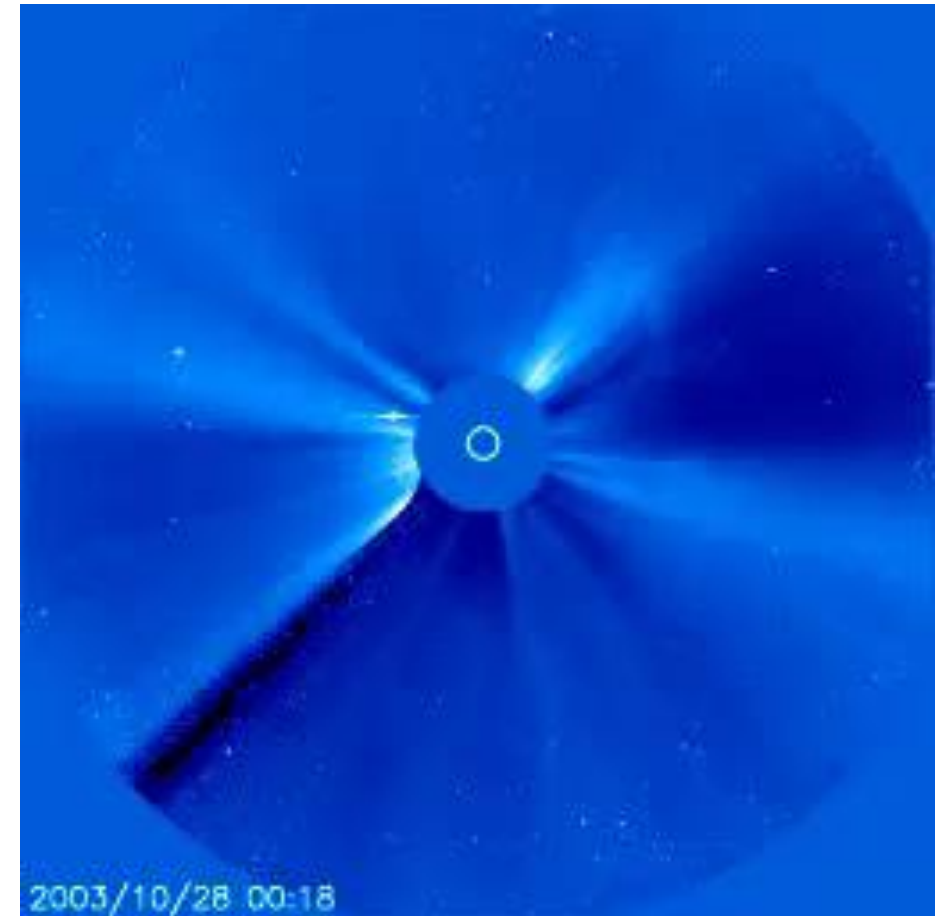
Western hemispheric preference

# Solar Sources of Space Weather: Particles and Magnetic Field

Some times eruptions occur in quick succession maintaining elevated level of particle radiation  
 Gopalswamy et al. 2005



Two halo CMEs: 10/28 and 10/29 2003



SOHO/LASCO

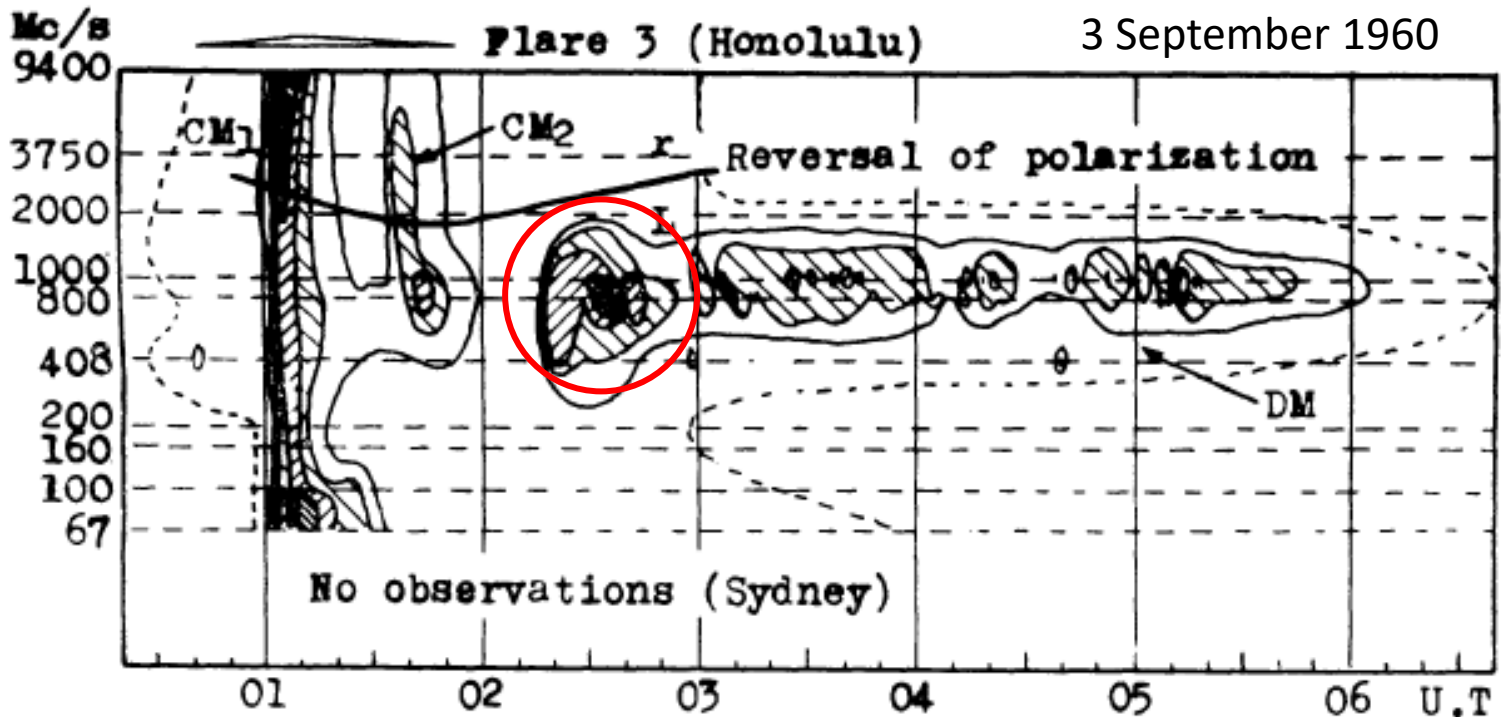


<http://wdc.kugi.kyoto-u.ac.jp/dstdir/>

# Signal Drowning

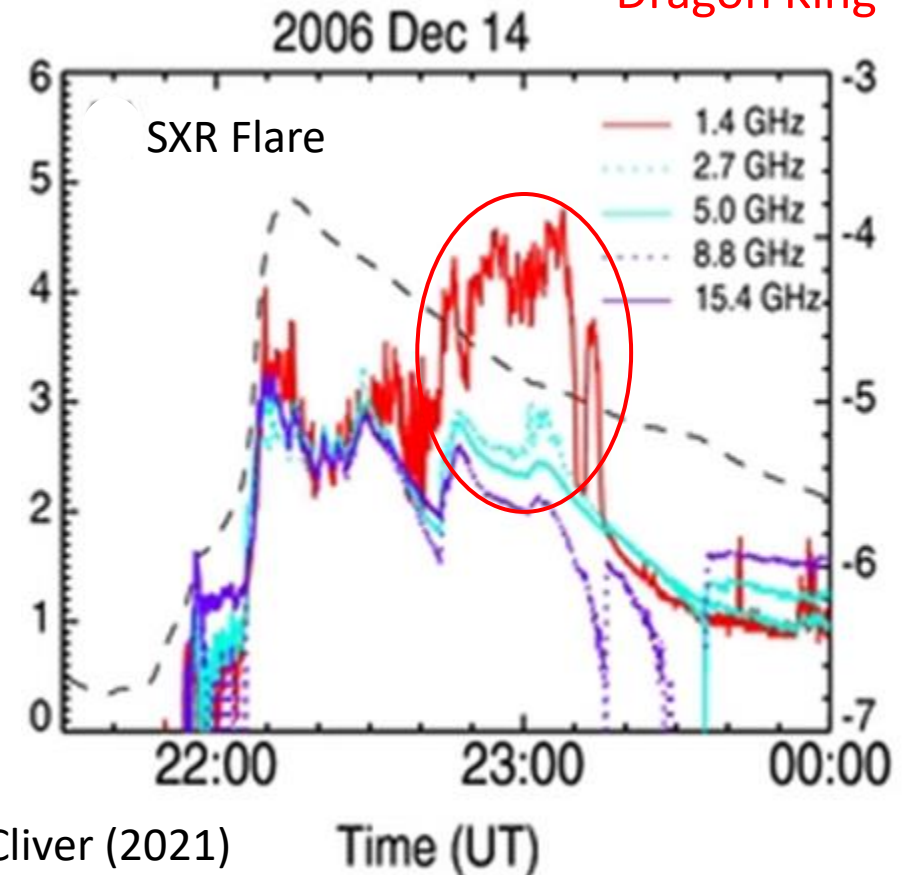
The flare-related solar radio flux in the 1-1.5 GHz range is unusually high resulting in elevated background so GNSS signals can be drowned

Dynamic spectrum showing emission peaking below 2000 MHz



Tanaka & Kakinuma (1962)

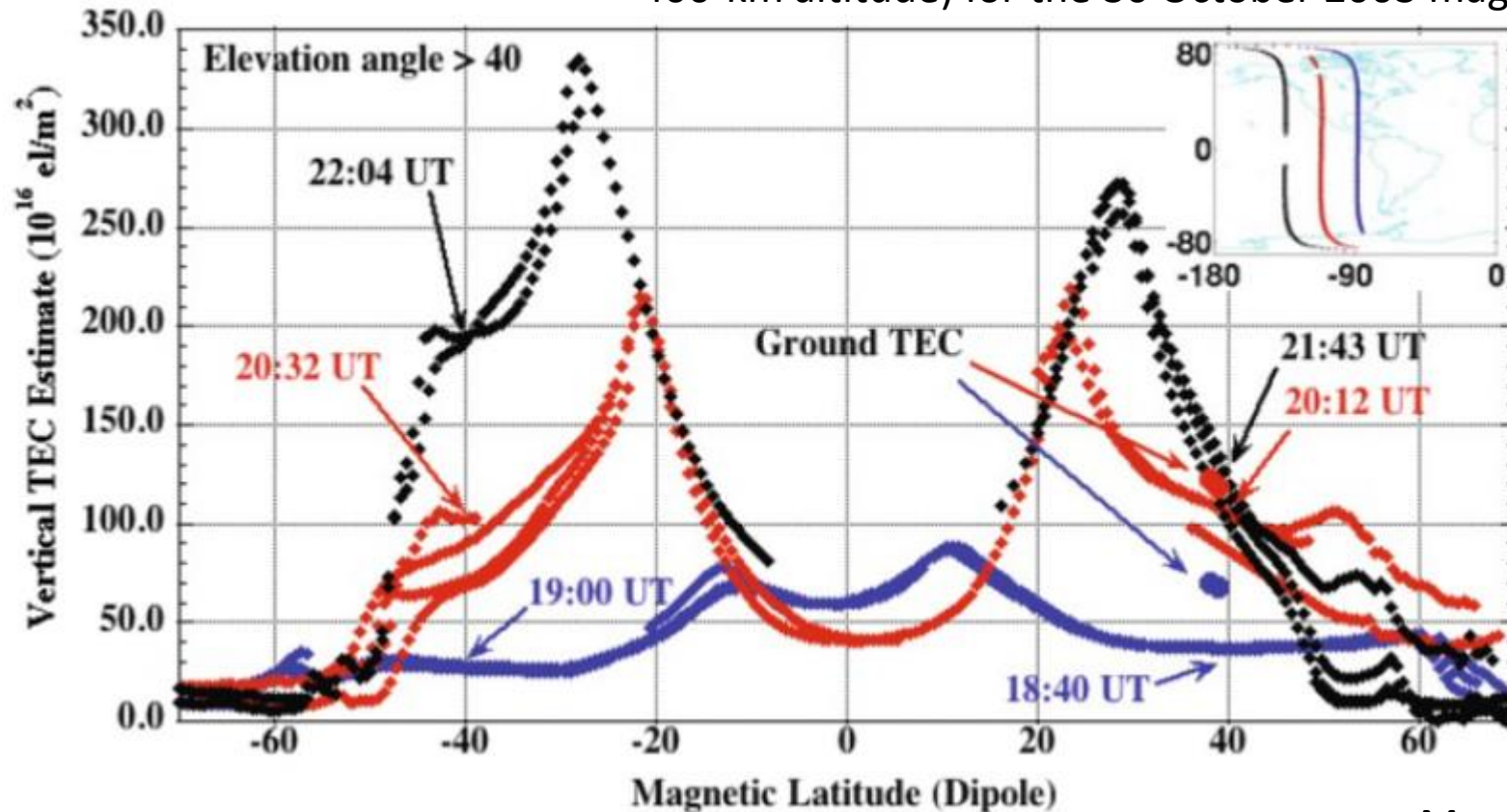
Dragon King



Cliver (2021)

# CME Impact on the Ionosphere: Super-fountain Effect

The vertical TEC above the CHAMP satellite (approximately 400-km altitude) for the 30 October 2003 magnetic storm



Mannucci et al. 2015

- TEC enhances by a factor of 5
- The enhancement spreads to mid latitudes (up to ~50 deg from <20 deg)

Talk by Keith Groves

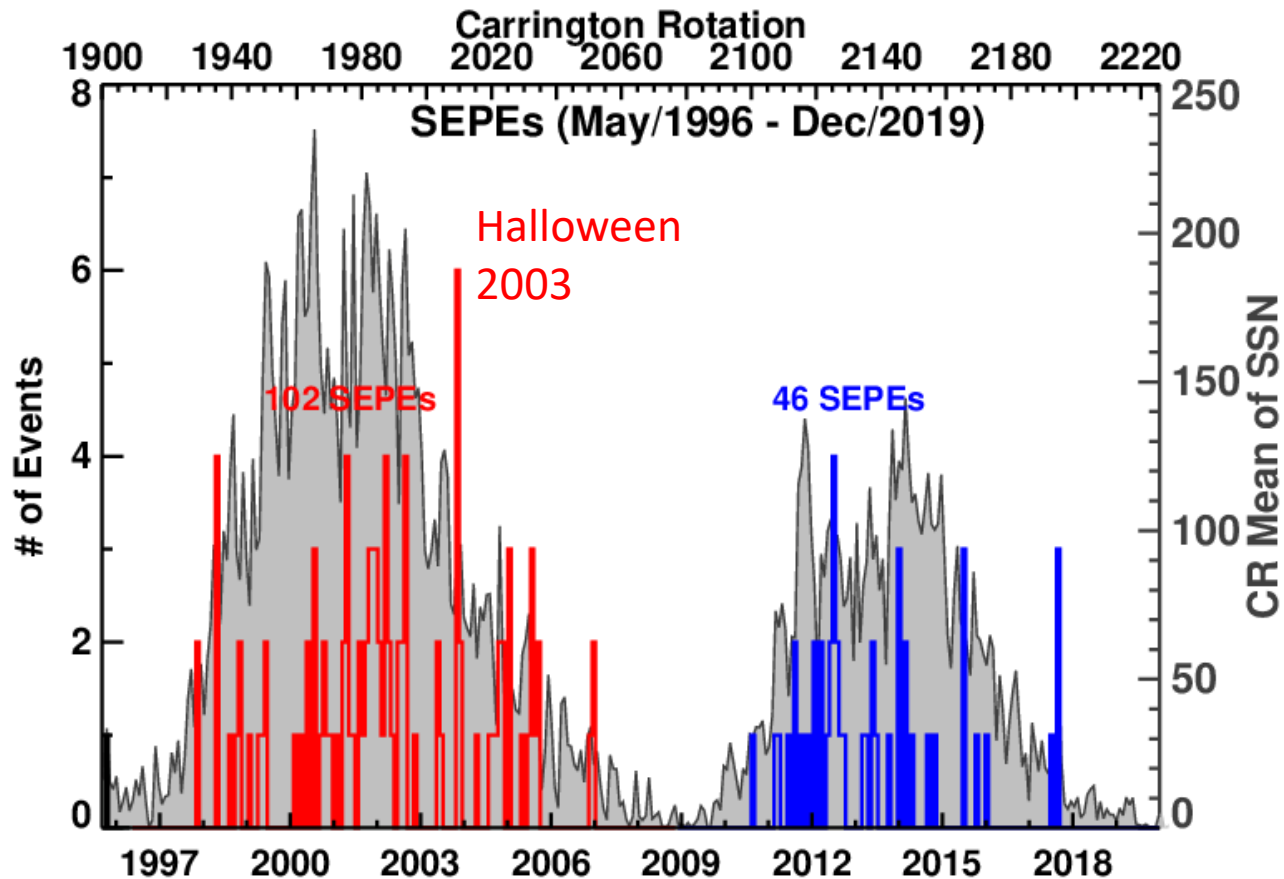


# Summary

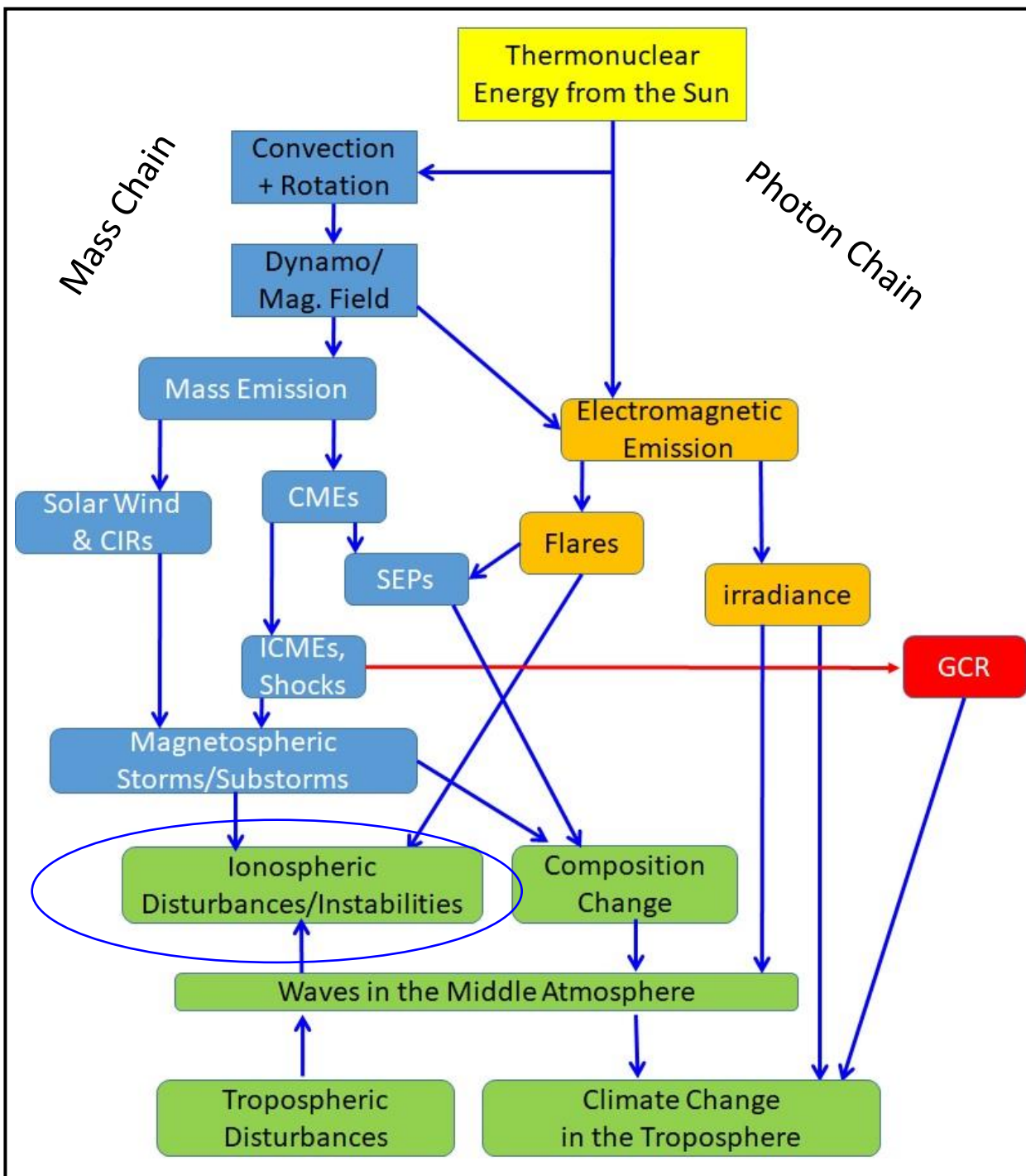
- Severe space weather events are coronal mass ejections (CMEs), which are responsible for solar energetic particle events and geomagnetic storms, which contribute to the radiation environment of GNSS satellite orbits.
- Corotating interaction regions and High speed streams (HSS) cause intense relativistic electron fluxes
- CMEs originate from closed field regions such as sunspot regions
- CIRs and HSS originate from open field regions (coronal holes)
- Signal drowning is a serious effect for GNSS applications
- Both CMEs and CIRs can cause ionospheric disturbances that affect GNSS signal propagation

Back-up slides

# Intracycle & Inter-cycle variability of SEP events



- Large SEP events contribution to the hazardous radiation environment occur mostly during solar maximum phase with significant numbers occurring also in the rise and declining phases
- Occasionally large active regions can result in large number of events. E.g., the Halloween 2003 period in solar cycle 23
- Cycle-to-cycle variability in the number of SEP events follows the activity cycle. E.g., the smaller cycle 24 has less than half of the number of events in cycle 23



## Mass Chain:

Coronal Mass ejections (CMEs, CIRs)  
 Corotating interaction regions  
 Magnetic field transported with plasma  
 Energetic particles from CME-driven shocks

## EM Chain:

Flares

## Galactic Cosmic Rays (GCRs):

Contribute to Inner Van Allen Belt

## Upward chain:

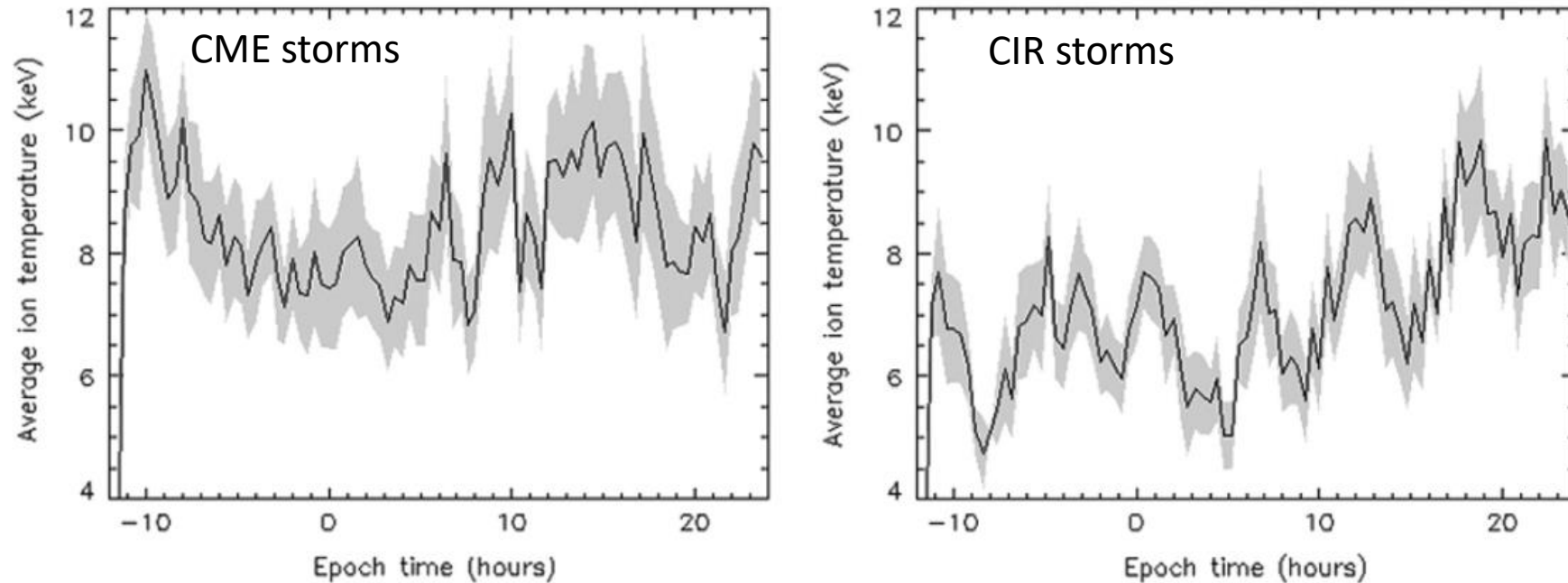
Ionospheric disturbances/irregularities  
 Contributions from flares & CMEs

# Geomagnetic Storms due to CMEs and CIRs

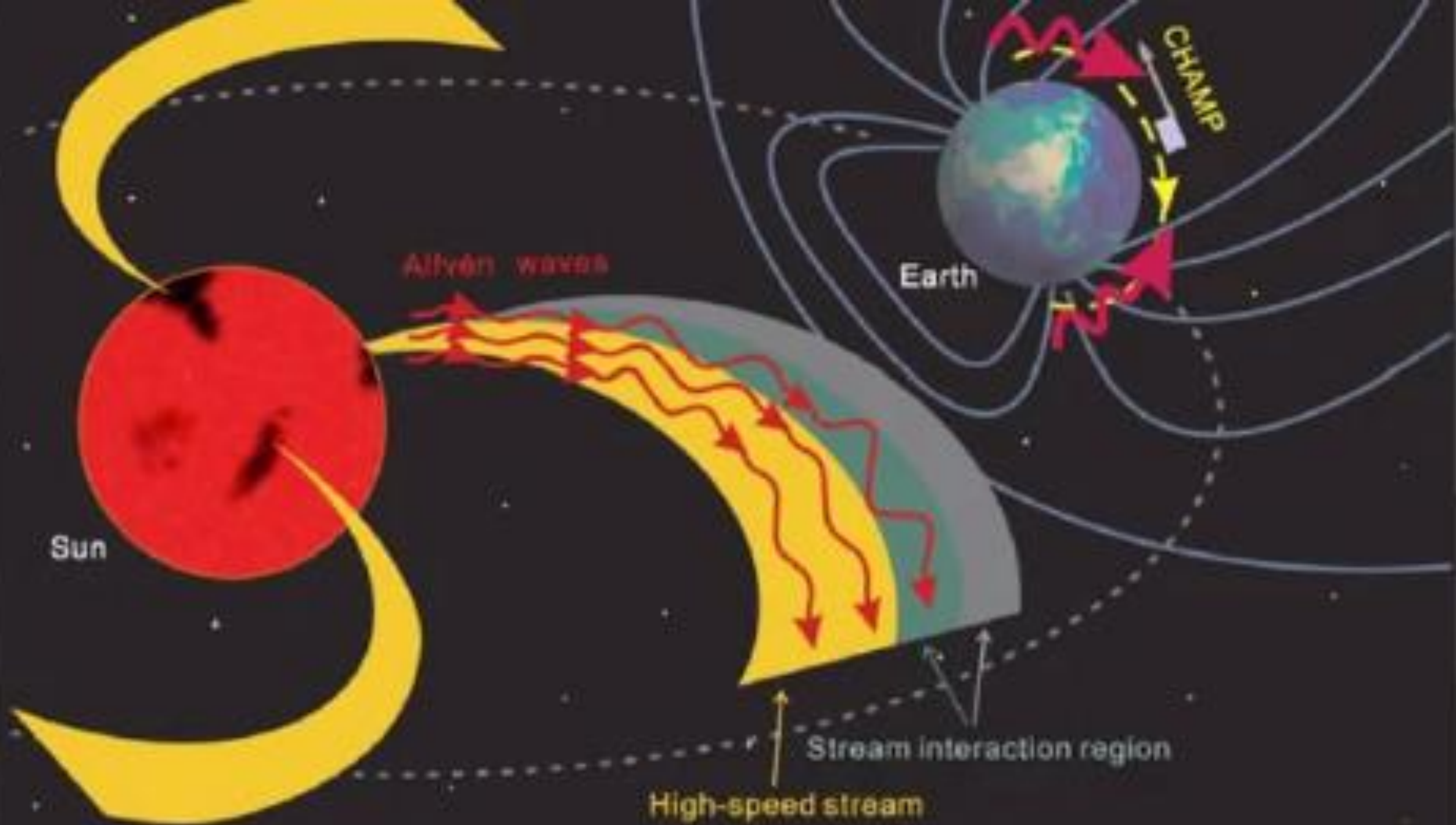
- Geomagnetic storms caused by CMEs and CIRs (plus the following HSSs) differ in some important ways

# Hot Ions in the Magnetosphere

Keesee et al. 2014



- Characteristics of the injection of hot ions differ between the two storm drivers, yielding differing ring current structure
- Ion temperatures in the  $3R_E - 20R_E$  region of the magnetosphere for 48 storms that occurred between June 2008 and April 2012.



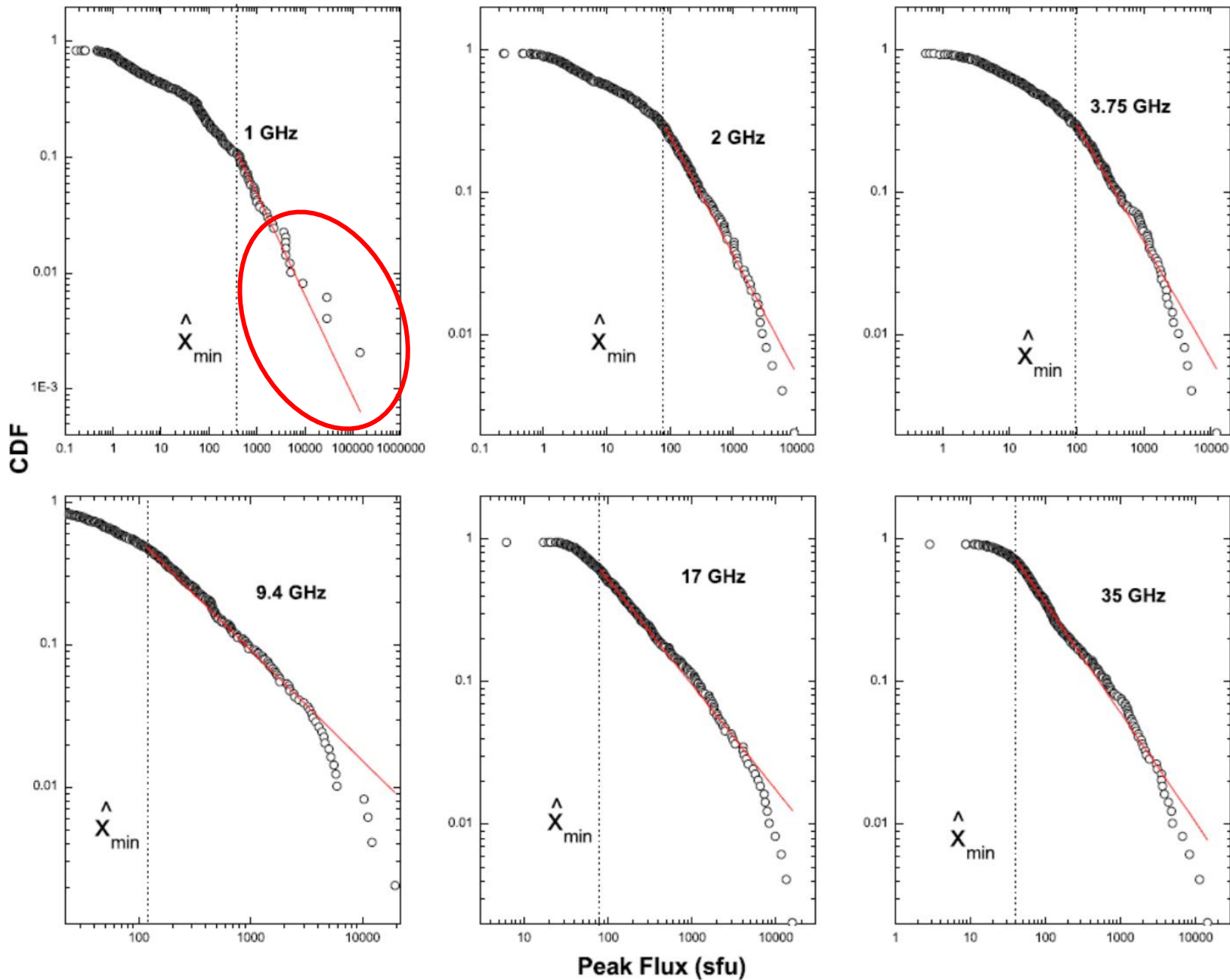
# Van Allen Belts

- Inner belt: L: 1-3
- 10-100 MeV protons (due to the CRAND mechanism)
- 100 KeV electrons
- Outer Belt: L: 3-7
- >100 keV electrons and 30–300 keV protons that are injected into the nightside magnetosphere by substorms and magnetic storms
- Highly variable >1 MeV relativistic electrons



# Galactic Cosmic Rays

- 2% electrons and positrons
- 87% protons
- 12% alpha particles
- 1% heavies
- Kinetic energies ranging up to and exceeding 10s of GeV/nucleon



- Cliver (2021) points to the 1 GHz spectrum different from others
- There seems to be an additional mechanism different from the one that produces spectra at other frequencies (dragon king events)
- One possibility is that conditions for an electron cyclotron maser exists for frequencies around 1 GHz